

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**HIR-139**

In Re Application Of: **Masat Katayama**

Serial No.  
**09/931,193**

Filing Date  
**15 AUG 2001**

Examiner  
**PRYOR, ALTON NATHANIEL**

Group Art Unit  
**1616**

Title:

**ROOTS-INDUCING AGENT OF PLANTS AND ITS TREATMENT METHOD**

*11/ Prior  
Art w/act*



Address to:

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☒ the fee set forth in 37 CFR 1.17(p).

10/06/2003 CCHAU1 00000101 09931193

01 IC:1806

180.00 DP

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of **180.00** is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **12-2147** as described below.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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Signature of Person Mailing Correspondence

**JEFFREY D. WASHVILLE**

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Signature

**JEFFREY D. WASHVILLE, REG. NO. 46,366**  
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Dated: **29 SEP 2003**

CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

HIR-13

Application Number

09/931,193

Applicant(s)

MASATO KATAYAMA

Filing Date

15 AUG 2001

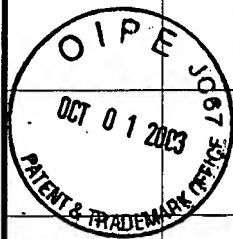
Group Art Unit

1616

\*EXAMINER

INITIAL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)



Masato Katayama et al, "Identification of 4-Chloroindole-3-acetic Acid and Its Methyl Ester in Immature Seeds of Vicia amurensis (the Tribe Viciaeae), and Their Absence from Three Species of Phaseoleae", Plant Cell Physiol. 28(2): 383-386 (1987)

Masato Katayama et al, ""Localization of 4-Chloroindole-3-acetic Acid In Seeds of Pisum sativum and Their Absence from All Other Organs", Plant Cell Physiol. 29(5): 889-891 (1988)

Kayo Yoshimatsu et al, "Iaa Derivative Induced Tropane Alkaloid Production In Root Cultures of A Duboisia Hybrid", Phytochemistry, Vol. 29, No. 11, pp. 3525-3528, (1990)

Katsuya Kato et al, "Crystal Structure of 4-Chloroindole-3-acetic Acid", Analytical Sciences, The Japan Society for Analytical Chemistry, pp. 881-882, Vol. 9, (December 1993)

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.